

**UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF FLORIDA**

**CASE NO. 18-cv-61991-BLOOM/Valle**

SECURITIES AND EXCHANGE COMMISSION,

Plaintiff,

v.

1 GLOBAL CAPITAL LLC, and  
CARL RUDERMAN,

Defendants, and

1 WEST CAPITAL LLC,  
BRIGHT SMILE FINANCING, LLC,  
BRR BLOCK INC.,  
DIGI SOUTH, LLC,  
GANADOR ENTERPRISES, LLC,  
MEDIA PAY LLC,  
PAY NOW DIRECT LLC, and  
RUDERMAN FAMILY TRUST,

Relief Defendants.

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**ORDER GRANTING PLAINTIFF'S UNOPPOSED MOTION FOR AN ASSET FREEZE  
ORDER AND OTHER RELIEF AGAINST DEFENDANT CARL RUDERMAN**

**THIS CAUSE** is before the Court upon Plaintiff Securities and Exchange Commission's Unopposed Motion for an Asset Freeze Order and Other Relief Against Defendant Carl Ruderman, ECF No. [72], ("Asset Freeze Motion"), which seeks the following orders:

- (1) An Order Continuing the Freezing the Assets of Defendant Carl Ruderman; and
- (2) An Order Prohibiting Destruction of Documents against Defendant Carl Ruderman.

The Court finds that good cause exists for the Court to grant the Commission's Asset Freeze Motion. In addition, by the Consent annexed hereto, without admitting or denying any of the allegations in the Complaint filed by the Commission, except that he admits the allegations as

to the jurisdiction of this Court over him and the subject matter of this action, Ruderman has agreed to the entry of this Order. Accordingly, it is **ORDERED AND ADJUDGED** as follows:

Plaintiff's Asset Freeze Motion, **ECF No. [72]**, is **GRANTED**.

**I.**

**ASSET FREEZE**

**IT IS FURTHER ORDERED AND ADJUDGED** that until further order of this Court:

- A. Defendant Carl Ruderman, his respective directors, officers, agents, servants, employees, attorneys, depositories, banks, and those persons in active concert or participation with any one or more of them, and each of them, who receive notice of this Order by personal service, mail, email, facsimile transmission or otherwise, be and hereby are, restrained from, directly or indirectly, transferring, setting off, receiving, changing, selling, pledging, assigning, liquidating or otherwise disposing of, or withdrawing any assets or property, including but not limited to cash, free credit balances, fully paid for securities, personal property, real property, and/or property pledged or hypothecated as collateral for loans, or charging upon or drawing from any lines of credit, owned by, controlled by, or in the possession of, whether jointly or singly, and wherever located; and
- B. Any financial or brokerage institution or other person or entity holding any such funds or other assets, in the name, for the benefit or under the control of Defendant Carl Ruderman, directly or indirectly, held jointly or singly, and wherever located, and which receives actual notice of this Order by personal service, mail, email, facsimile, or otherwise shall hold and retain within its control and prohibit the withdrawal, removal, transfer, disposition, pledge, encumbrance,

assignment, set off, sale, liquidation, dissipation, concealment, or other disposal of any such funds or other assets, including, but not limited to, the following accounts:

**Bank Of America:**

- Carl Ruderman, account ending in 6994;

**Lincoln Financial Group:**

- Annuity, Contract Number ending in 9843; and

**UBS Group AG:**

- Accounts ending in 0565, 0566, and 0567.

**II.**

**RECORDS PRESERVATION**

**IT IS FURTHER ORDERED AND ADJUDGED** that until further Order of the Court Defendant Carl Ruderman, any of his directors, officers, agents, servants, employees, attorneys, depositories, banks, and those persons in active concert or participation with any one or more of them, and each of them, be and they hereby are restrained and enjoined from, directly or indirectly, destroying, mutilating, concealing, altering, disposing of, or otherwise rendering illegible in any manner, any of the books, records, documents, correspondence, brochures, manuals, papers, ledgers, accounts, statements, obligations, files and other property of or pertaining to any of the Defendants or Relief Defendants, wherever located and in whatever form, electronic or otherwise, until further Order of this Court.

**III.**

**RETENTION OF JURISDICTION**

**IT IS FURTHER ORDERED AND ADJUDGED** that this Court shall retain jurisdiction over this matter and Defendant Carl Ruderman in order to implement and carry out the terms of all Orders and Decrees that may be entered and/or to entertain any suitable

application or motion for additional relief within the jurisdiction of this Court, and will order other relief that this Court deems appropriate under the circumstances.

**DONE AND ORDERED** in Chambers in Miami, Florida, this 19th day of September, 2018.

A handwritten signature in black ink, appearing to be 'JB', written over a horizontal line.

**BETH BLOOM**  
**UNITED STATES DISTRICT JUDGE**

Copies to:

Counsel of Record